

06/12/01

Jc926 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. BSC-009DV  
First Named Inventor Crowley  
Title Mucosal Ablation

J1036 U.S. PTO

10/12/01

## APPLICATION ELEMENTS

ADDRESS TO: **Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

## ACCOMPANYING APPLICATION PARTS

- |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form                                                                                                                                                                                                                                                                                                        | 8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)<br><input type="checkbox"/> Power of Attorney                                                                                                                                          |
| 2. <input type="checkbox"/> Small Entity Status<br><input type="checkbox"/> Applicant claims small entity status<br><input type="checkbox"/> Status established in prior application and is still proper and desired                                                                                                                                               |                                                                                                                                                                                                                                                                         |
| 3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 20]<br>- Written Description - (12 pages)<br>- Claims - (4 pages)<br>- Abstract - (1 page)<br>- Sheets of Drawings - (3 sheets)<br><input type="checkbox"/> Formal<br><input checked="" type="checkbox"/> Informal                                                                  |                                                                                                                                                                                                                                                                         |
| 4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 4]<br>a. <input type="checkbox"/> Newly executed (original)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) & Copy of Associate Power of Attorney<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>(Total 6 pages) | 11. <input checked="" type="checkbox"/> Preliminary Amendment (10 Total pages including drawings)<br><input checked="" type="checkbox"/> Formal Drawings [Total Sheets 2]<br><input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Pages ] |
| 5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked)<br>The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.           | 12. <input checked="" type="checkbox"/> Return Receipt Postcard                                                                                                                                                                                                         |
| 6. <input checked="" type="checkbox"/> Application Data Sheet (1 pg.)                                                                                                                                                                                                                                                                                              | 13. <input type="checkbox"/> Certified Copy of Priority Document(s)                                                                                                                                                                                                     |
| 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Paper Copy (identical to computer copy)<br><input type="checkbox"/> CD (identical to computer copy)<br><input type="checkbox"/> Statement verifying identity of above copies                           | 14. <input type="checkbox"/> Deletion of Inventor(s)<br>Signed statement attached deleting inventor(s) named in the prior application.                                                                                                                                  |
|                                                                                                                                                                                                                                                                                                                                                                    | 15. <input type="checkbox"/> CD in duplicate for large table or computer program.                                                                                                                                                                                       |
|                                                                                                                                                                                                                                                                                                                                                                    | 16. <input type="checkbox"/> Other:                                                                                                                                                                                                                                     |

17. ☒ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence: --  
This is a

☐ continuation ☒ divisional ☐ continuation-in-part of prior application Serial No. 08/903,218, filed on  
July 22, 1997, the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: David M. Shay. Group/Art Unit: 3739.

18. ☒ Priority - 35 U.S.C. 119

- ☒ Priority of application Serial No. 60/033,333 filed on November 21, 1996 in U.S. is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_.  
☐ The certified copy will follow.

## CORRESPONDENCE ADDRESS

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Testa, Hurwitz & Thibault, LLP  
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## SIGNATURE BLOCK

Date: June 12, 2001  
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Respectfully submitted,

Duan Wu  
Duan Wu  
Attorney for Applicants  
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125 High Street  
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**FEE TRANSMITTAL**  
**FY 2001**

Comp Known

Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Crowley
Group Art Unit	3736
Examiner Name	Not Yet Assigned
Attorney Docket No.	BSC-009DV

**METHOD OF PAYMENT**

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

**FEE CALCULATION****1. FILING FEE**

Large Entity Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	710.00
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	20	- 20 = <u>0</u>	x \$ 18.00 =	0.00
Independent Claims	1	- 3 = <u>0</u>	x \$ 80.00 =	0.00
<input type="checkbox"/> Multiple Dependent Claim(s), if any			\$270.00 =	0.00
TOTAL:				710.00
SMALL ENTITY DISCOUNT:				
SUBTOTAL (1)			(\$)	710.00

**2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 80.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =	
TOTAL:			(\$)	
SMALL ENTITY DISCOUNT:			(\$)	
SUBTOTAL (2)			(\$)	0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$ 0.00

SUBTOTAL (1) 710.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 0.00

TOTAL (\$ 710.00

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**SIGNATURE BLOCK**

Respectfully submitted,

Date: June 12, 2001  
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